



Rx-360 Shared Audit Program

June 2015

Audit Sharing

The Concept

- Share reports and responses from audits conducted by individual member companies (not by Rx-360)
- Companies decide individually how to use information in the audit reports/responses
- Suppliers can exclude specific companies (i.e., competitors) from viewing the audit reports/responses
- Suppliers can also vet new members joining Rx-360 who have requested access to a Shared audit report
- Only Rx-360 member companies can access shared audit information.



Benefits of Sharing Audit Reports

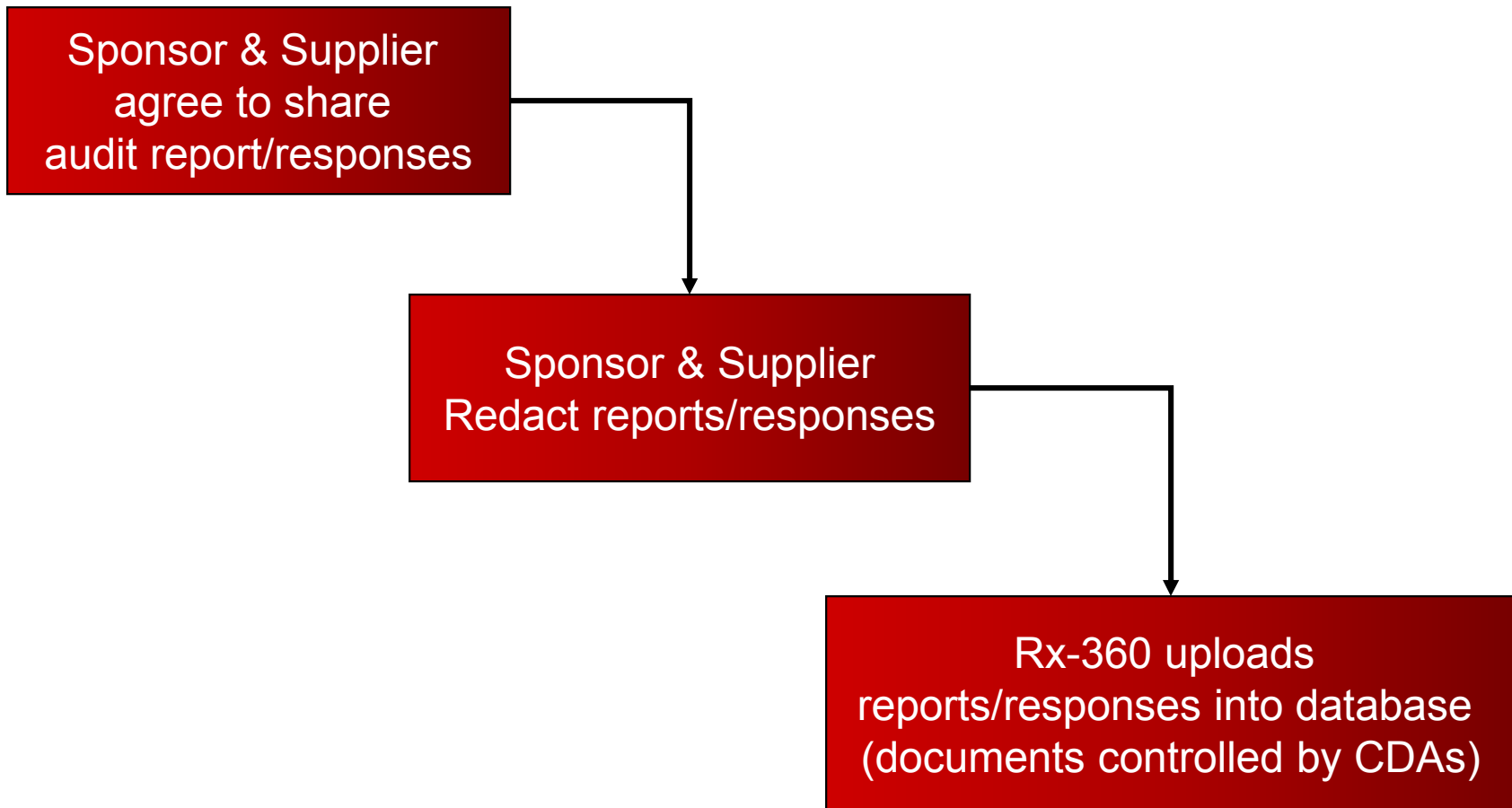
Sponsors and Suppliers

- Leveraging Information Already Available
- Provides a Broader “Picture” of Quality Culture and Performance
- Potential for Reducing Frequency and/or Length of Audits (Routine/Due Diligence)
- Identify and Pre-screen New Suppliers
- Promote Capabilities to Consortium
- Competitive Advantage and Visibility to Potential Customers



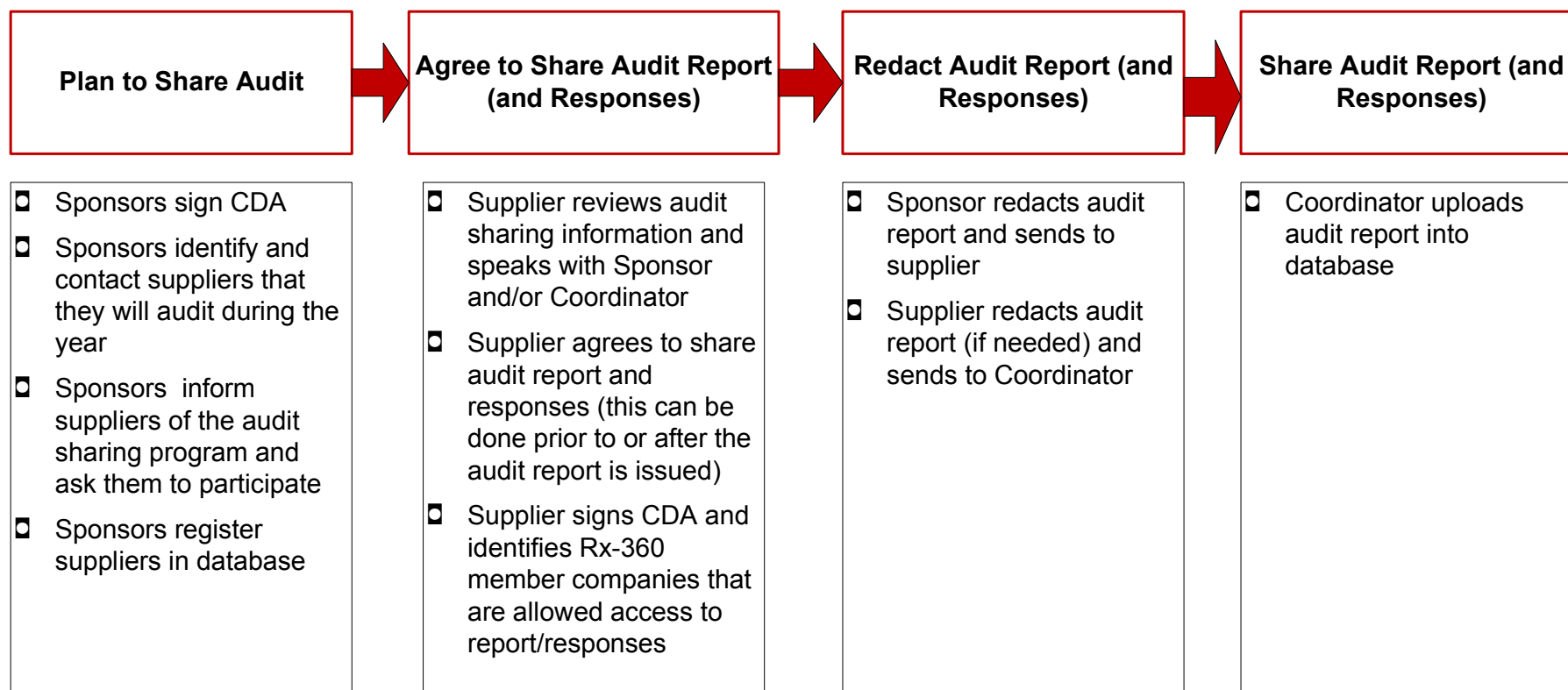
Audit Sharing Process

Overview



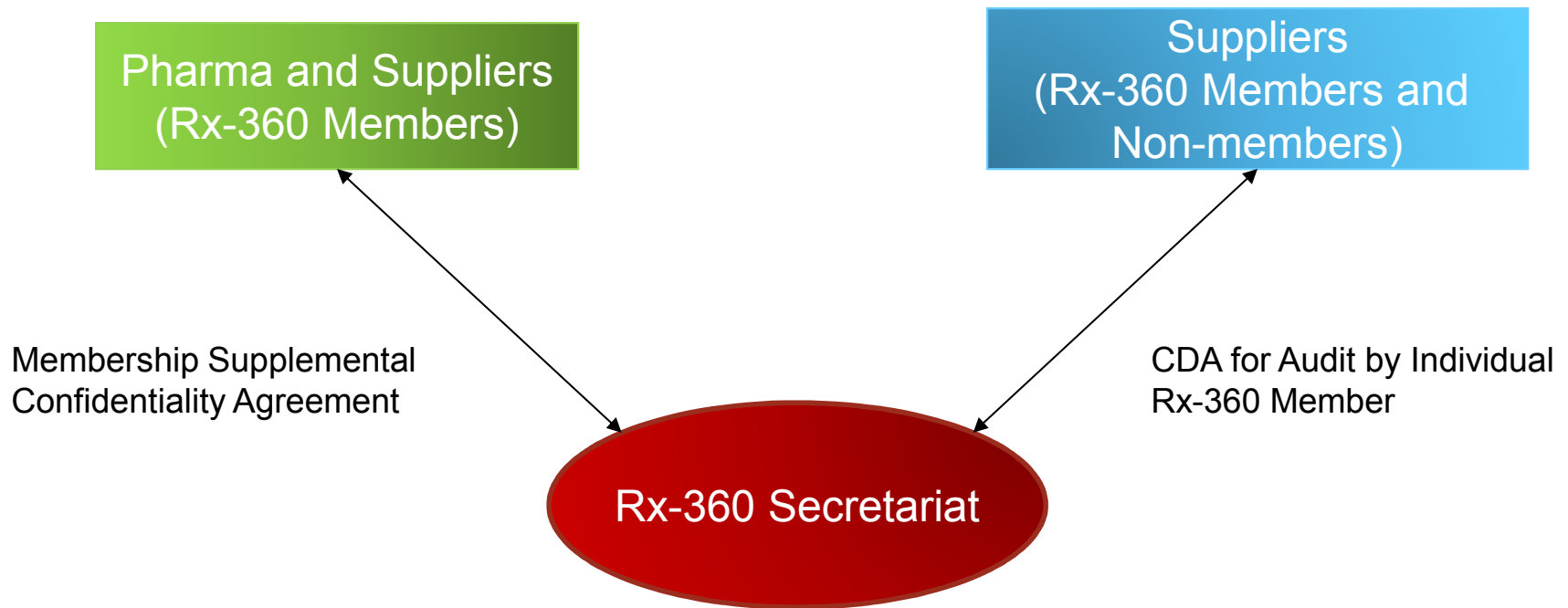
Audit Sharing Process

Detailed Description



Participation in Audit Sharing Program

Ensuring Confidentiality through Agreements



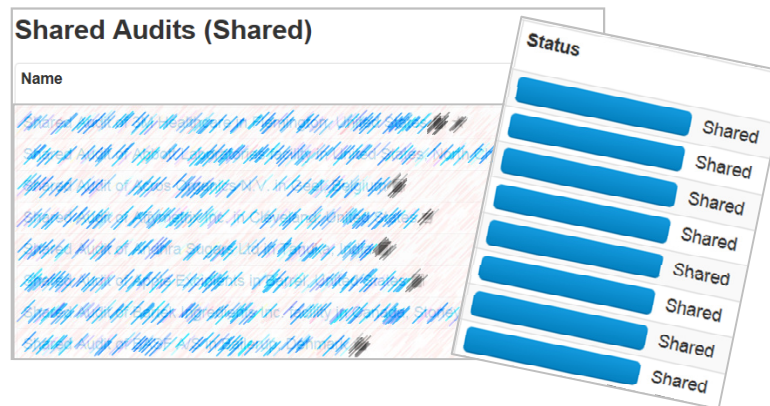
Rx-360 Audits

auditsPLUS



In 2014 Rx-360 introduced auditsPLUS, a new custom audit database

- Accessible to Rx-360 members
- Stores audit reports and supporting documentation
- Access is governed by signed confidentiality agreements and addendum



Rx-360 Pilot

Supplier Information Sharing Program

- Rx-360 is currently looking to expand and rebrand the Shared Audit Program.
- A pilot program is currently underway.
- In the pilot suppliers will provide access to information beyond redacted audit reports; a list of document types is provided on the following slide.
- To avoid confusion with the Joint Audit Program the pilot program is being rebranded as the Supplier Information Sharing Program.



Rx-360 Pilot

Additional document types under consideration

- **Independent redacted audit reports**
- **Questionnaires /SAQ's**
(Supplier, Vendor and/or Rx360)
- **Links to Certifications**
 - **ISO – 9001,15378**
 - **OHSAS18001**
 - **BSI, etc...**
- **Links to Policy Statements**
 - **Global Citizenship Policy**
 - **Global Quality Policy**
 - **Kosher, Halal, Alergent, Aflatoxin, Conflict Minerals**
 - **Link to company page on TSE/BSE Statements (not product specific)**
 - **Safety statements (Global EHS)**
- **Links to Documentation**
 - **Organizational charts (functional – high level)**
 - **EHS documentation**
- **Supplier information**
 - **Operations**
 - **Controls**
 - **Systems**
 - **Facility maps**
 - **Site master files**
- **Quality Metrics (TBD)**
 - **Presentations**
 - **High level facility plan**
 - **Key products**
- **Example Documents**
- **Certificate of Analysis**

Summary

- The Shared Audit Program allows reports and responses from audits conducted by individual member companies (not by Rx-360) to be shared with other Rx-360 members.
- The program enables members to leverage existing information.
- Suppliers control which organizations view the information through signed agreements and addendum.
- auditsPLUS enables members to easily access and request shared audit reports.
- The Audit Operations Group is looking to expand and rebrand the existing program to better meet member needs.

